



Holder-of-Key Web Browser SSO Profile

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Related Work:

This specification is a potentially compatible extension of the SAML V2.0 Web Browser SSO Profile in the SAML V2.0 Profiles specification [SAML2Prof].

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urn:oasis:names:tc:SAML:2.0:profiles:SSO:browser:holder-of-key

Abstract:

This profile allows for transport and validation of holder-of-key assertions by standard HTTP user agents with no modification of client software and maximum compatibility with existing deployments. Most of the flows are as in standard Web Browser SSO, but an x.509 certificate presented by the user agent supplies a valid keypair through client TLS authentication for HTTP transactions. The keypair is used as the vehicle for holder-of-key validation of a SAML assertion. This strengthens the assurance of the resulting authentication context and protects against credential theft, giving the service provider fresh authentication and attribute information without requiring it to perform successful PKIX validation of the certificate.

35 **Status:**

36 This document was last revised or approved by the SSTC on the above date. The level of
37 approval is also listed above. Check the "Latest Version" or "Latest Approved Version" location
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121

1 Introduction

122 In the scenario addressed by this profile, which is an extended version of the Web Browser SSO Profile
 123 in 4.1 of [SAML2Prof], a principal uses an HTTP user agent to either access a web-based resource at a
 124 service provider or access an identity provider such that the service provider and desired resource are
 125 understood or implicit. In either case, the user agent needs to acquire a SAML assertion from the identity
 126 provider. The user agent makes a request to the identity provider using client TLS authentication. The
 127 X.509 certificate supplied in this transaction is used primarily to supply a public key that is associated with
 128 the principal. The identity provider authenticates the principal by way of this TLS authentication or any
 129 other method of its choice. The identity provider then produces a response containing at least an
 130 assertion with holder-of-key subject confirmation and an authentication statement for the user agent to
 131 transport to the service provider. This assertion is presented by the user agent to the service provider
 132 over client TLS authentication to prove possession of the private key matching the holder-of-key
 133 confirmation in the assertion. The service provider should rely on no information from the certificate
 134 beyond the key; instead, it consumes the assertion to create a security context. The TLS key may then
 135 be used to persist the security context rather than a cookie or other application-layer session.

136 To implement this scenario, a profile of the SAML Authentication Request protocol is used in conjunction
 137 with the HTTP Redirect, HTTP POST and HTTP Artifact bindings. It is assumed that the user is using an
 138 HTTP user agent capable of presenting client certificates during TLS session establishment, such as a
 139 standard web browser.

1.1 Terminology

141 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD
 142 NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this specification are to be interpreted as
 143 described in [RFC 2119].

144 These keywords are thus capitalized when used to unambiguously specify requirements over protocol
 145 and application features and behavior that affect the interoperability and security of implementations.
 146 When these words are not capitalized, they are meant in their natural-language sense.

147 Conventional XML namespace prefixes are used throughout this specification to stand for their respective
 148 namespaces as follows:

Prefix	XML Namespace	Comments
ds:	http://www.w3.org/2000/09/xmldsig#.	This is the XML-Dsig Schema defined in [DSig].
md:	urn:oasis:names:tc:SAML:2.0:metadata	This is the SAML V2.0 metadata namespace defined in the SAML V2.0 metadata specification [SAML2Meta].
saml:	urn:oasis:names:tc:SAML:2.0:assertion	This is the SAML V2.0 assertion namespace defined in the SAML V2.0 core specification [SAML2Core].
samlp:	urn:oasis:names:tc:SAML:2.0:protocol	This is the SAML V2.0 protocol namespace defined in the SAML V2.0 core specification [SAML2Core].

149

150 This specification uses the following typographical conventions in text: <namespace:Element>,
 151 Attribute, **Datatype**, OtherKeyword.

152 1.2 Normative References

- 153 **[DSig]** D. Eastlake, J. Reagle, D. Solo. *XML-Signature Syntax and Processing*. World
154 Wide Web Consortium Recommendation, 12 February 2002. See
155 <http://www.w3.org/TR/xmlsig-core/>.
- 156 **[IDPDisco]** R. Widdowson, S. Cantor. Identity Provider Discovery Service Protocol and
157 Profile, OASIS SSTC October 2007. Document ID sstc-saml-idp-discovery. See
158 <http://www.oasis-open.org/committees/security/>.
- 159 **[RFC 2119]** S. Bradner. *Key words for use in RFCs to Indicate Requirement Levels*. IETF
160 RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>.
- 161 **[RFC 4346]** T. Dierks, E. Rescorla. *The Transport Layer Security (TLS) Protocol*. IETF RFC
162 4346, April 2006.
163 <http://www.ietf.org/rfc/rfc4346.txt>.
- 164 **[SAML2Bind]** S. Cantor et al. *Assertions and Protocols for the OASIS Security Assertion
165 Markup Language (SAML) V2.0*. OASIS Standard, March 2005. Document ID
166 saml-core-2.0-os. See [http://docs.oasis-open.org/security/saml/v2.0/saml-
167 bindings-2.0-os.pdf](http://docs.oasis-open.org/security/saml/v2.0/saml-bindings-2.0-os.pdf).
- 168 **[SAML2Core]** S. Cantor et al. *Assertions and Protocols for the OASIS Security Assertion
169 Markup Language (SAML) V2.0*. OASIS Standard, March 2005. Document ID
170 saml-core-2.0-os. See [http://docs.oasis-open.org/security/saml/v2.0/saml-
171 core-2.0-os.pdf](http://docs.oasis-open.org/security/saml/v2.0/saml-core-2.0-os.pdf).
- 172 **[SAML2Meta]** S. Cantor et al. *Metadata for the OASIS Security Assertion Markup Language
173 (SAML) V2.0*. OASIS Standard, March 2005. Document ID saml-metadata-2.0-
174 os. See <http://docs.oasis-open.org/security/saml/v2.0/saml-metadata-2.0-os.pdf>.
- 175 **[SAML2Prof]** S. Cantor et al. *Profiles for the OASIS Security Assertion Markup Language
176 (SAML) V2.0*. OASIS Standard, March 2005. Document ID saml-profiles-2.0-os.
177 See <http://docs.oasis-open.org/security/saml/v2.0/saml-profiles-2.0-os.pdf>.
- 178 **[SAML2Secure]** F. Hirsch et al. *Security and Privacy Considerations for the OASIS Security
179 Assertion Markup Language (SAML) v2.0*. OASIS SSTC, March 2005.
180 Document ID saml-sec-consider-2.0-os. See [http://docs.oasis-
181 open.org/security/saml/v2.0/saml-sec-consider-2.0-os.pdf](http://docs.oasis-open.org/security/saml/v2.0/saml-sec-consider-2.0-os.pdf).

182 1.3 Conformance

183 1.3.1 Holder-of-Key Web Browser SSO Profile

184 A conformant implementation of a service provider and an identity provider MUST support holder-of-key
185 assertions and the acquisition of client keys from TLS connections, for validation and issuance of these
186 assertions, respectively.

2 Holder-of-Key Web Browser SSO Profile

2.1 Required Information

Identification: urn:oasis:names:tc:SAML:2.0:profiles:SSO:browser:holder-of-key

Contact information: security-services-comment@lists.oasis-open.org

SAML Confirmation Method Identifiers: The SAML V2.0 “holder-of-key” confirmation method identifier, urn:oasis:names:tc:SAML:2.0:cm:holder-of-key, is included in all assertions issued under this profile. Imbedded in the <saml:SubjectConfirmation> element is an x.509 certificate using XML-Signature <ds:KeyInfo> with identifier <http://www.w3.org/2000/09/xmlsig#>.

Description: Given below.

Updates: Provides a compatible alternative to the SAML V2.0 Web Browser SSO Profile given in 4.1 of [SAML2Prof].

2.2 Background

This profile is designed to enhance the security of SAML assertion and message exchange without requiring modifications to client software while improving the user experience. The amount of benefit depends on the alignment of the certificate with the discovery service and identity provider and the extent to which a service provider has been enabled. Deployments should minimize user interaction and avoid mutually conflicting CA requirements by coordinating certificate issuance and TLS configuration.

If both the identity provider and service provider use this profile, but assume no knowledge of the certificate's contents, enhanced security is the primary benefit. There is a small chance that a bearer token will be stolen in transit, as described in [SAML2Secure]. Confirming that the presenter of the token is the intended holder through public key cryptography virtually eliminates this chance, improving the viability of SAML-based HTTP SSO for highly sensitive applications. The session created by the service provider in the security context resulting from the Holder-of-Key Web Browser SSO Profile can be keyed by the TLS public key or session key. Application-layer sessions, such as maintained by cookies, are often poorly protected by user agents, allowing for theft of this session and impersonation of the user.

If a certificate can be used by the identity provider for principal authentication, there is no need for the user to further confirm its identity, and potentially no user interaction is needed. Phishing is eliminated, as there are greater challenges and no benefits to tricking the user into authenticating with legitimate credentials to a fraudulent party.

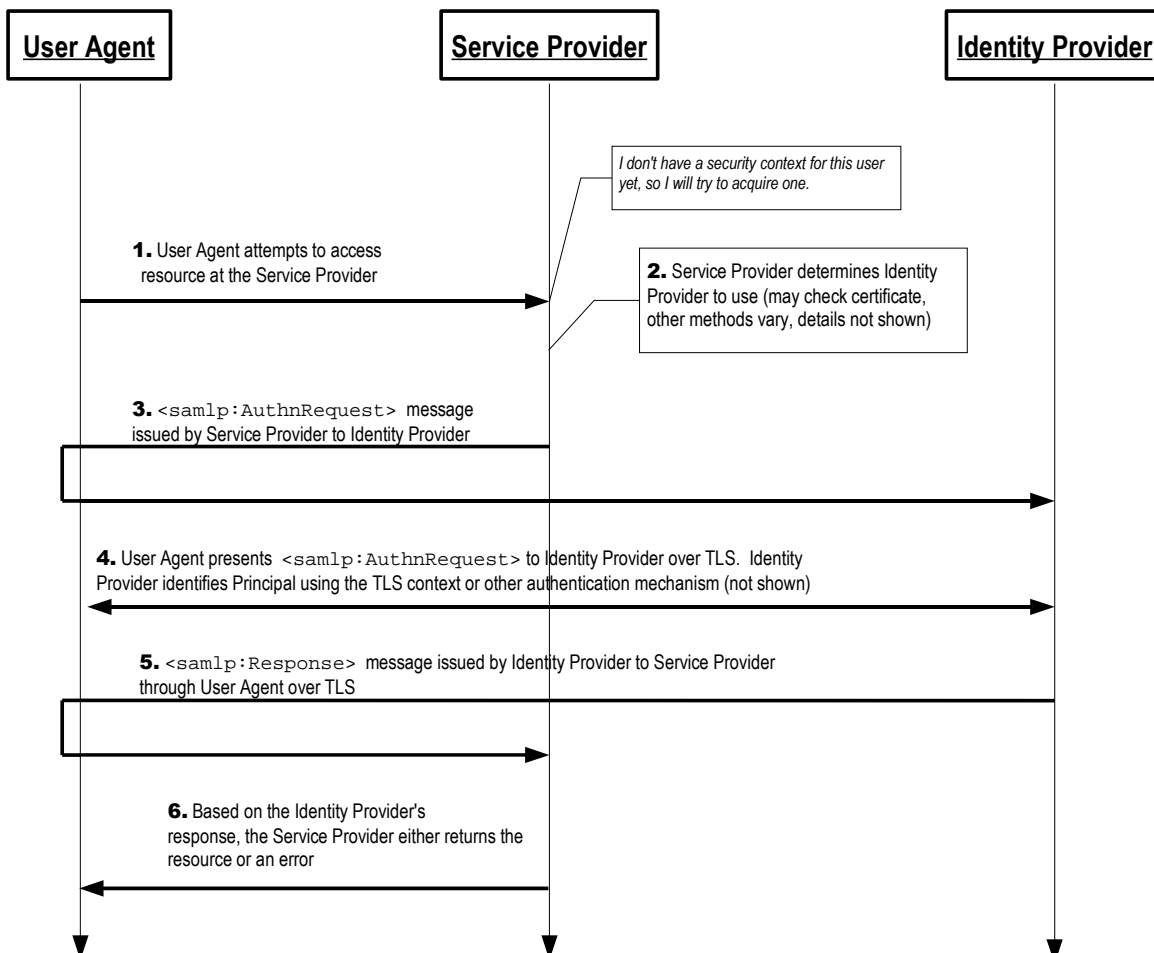
Further, if the user accesses the service provider first, discovery of the user's identity provider may be performed by matching fields within the certificate presented; however, that is beyond the scope of this specification.

This profile offers meaningful advantages over traditional PKI, as well. There is no requirement for a mutually or universally trusted root, distributed OCSP or CRL-based revocation, a globally unique namespace, PKIX validation (particularly by the SP), or for all participants in SSO to utilize X.509. The authentication token can be customized for every transaction, including fresh attributes and appropriate revelation of identity.

There are limitations on the degree to which users can remain private under this profile, particularly as most end-user X.509 certificates contain a unique distinguished name for the issuer and the subject regularly containing personally identifying information. The ideal certificate for use with this profile contains a pseudonym for the user as subject that the identity provider can map to a principal, the domain of the identity provider included in the subject, and optionally the unique SAML `entityID` of the identity provider included in the certificate as an X.509 `subjectAltName`. However, even in this case it's not generally feasible for the user to remain truly anonymous, as transient identifiers and short-lived

230 assertions permit, unless a new keypair is issued for every transaction. The public key is a de-facto
 231 persistent ID, as discussed in [SAML2Secure].

232 2.3 Profile Overview



233 Figure 1 illustrates the basic template for achieving SSO. The following steps are described by the
 234 profile. Within an individual step, there may be one or more actual message exchanges depending on
 235 the binding used for that step and other implementation-dependent behavior.

236 1. HTTP Request to Service Provider

237 The principal, via an HTTP user agent, makes an HTTP request for a secured resource at the service
 238 provider. The service provider determines that no security context exists, and attempts to create
 239 one.

240 2. Service Provider Determines Identity Provider

241 The service provider determines the proper identity provider to which to direct the user agent. This
 242 may be done through use of a discovery service as described in [IDPDisco], by examining fields in a
 243 certificate presented through client TLS authentication, such the X.509 `subject` or
 244 `subjectAltName`, or by any other means appropriate.

245 3. <samlp:AuthnRequest> issued by Service Provider to Identity Provider

246 The service provider issues a `<samlp:AuthnRequest>` message to be delivered by the user agent
247 to the identity provider. If the initial HTTP Request for a resource protected by the service provider
248 was made over client TLS authentication and the `<samlp:AuthnRequest>` will be signed, the
249 service provider MAY include the certificate presented by the client for holder-of-key
250 `<saml:SubjectConfirmation>`. The HTTP Redirect, HTTP POST, or HTTP Artifact binding can
251 be used to transport the message to the identity provider through the user agent, unless holder-of-
252 key `<saml:SubjectConfirmation>` is included, in which case HTTP Redirect MAY NOT be used.

253 4. Identity Provider identifies Principal

254 The principal is identified by the identity provider. The identity provider MUST identify the principal
255 using any authentication method at its discretion honoring any requirements imposed by the service
256 provider in the `<samlp:AuthnRequest>`, including validation of the certificate presented in client
257 TLS authentication. However, the identity provider MUST establish that the private key
258 corresponding to the public key that will be included for holder-of-key proofing is held by this user
259 agent, typically through a successful TLS handshake.

260 5. Identity Provider issues `<samlp:Response>` to Service Provider

261 The identity provider issues a `<samlp:Response>` message to be delivered by the user agent to the
262 service provider. Either the HTTP POST or HTTP Artifact binding can be used to transfer the
263 message to the service provider through the user agent. The message may indicate an error or will
264 include at least an authentication statement in an assertion with holder-of-key
265 `<saml:SubjectConfirmation>` containing a `<ds:KeyInfo>` element containing the public key of
266 principal. The HTTP Redirect binding MUST NOT be used, as the response will typically exceed the
267 URL length permitted by most user agents.

268 6. Service Provider grants or denies access to Principal

269 The response is received by the service provider, which can respond to the principal's user agent
270 with its own error, an error passed by the identity provider, or establish a security context for the
271 principal and return the requested resource.

272 Note that an identity provider can initiate this profile at step 5 by issuing a `<samlp:Response>` message
273 to a service provider without the preceding steps.

274 2.4 Profile Description

275 If the profile is initiated by the service provider, start with Section 2.4.1. If initiated by the identity
276 provider, start with Section 2.4.5. The descriptions refer to a Single Sign-On Service and Assertion
277 Consumer Service in accordance with their use in section 4.1.3 of [SAML2Prof].

278 2.4.1 HTTP Request to Service Provider

279 The profile may be initiated by an arbitrary request to the service provider. The service provider is free to
280 use any means it wishes to associate the subsequent interactions with the original request. Each of the
281 bindings provides a `RelayState` mechanism that the service provider MAY use to associate the profile
282 exchange with the original request. In particular, the TLS session itself MAY be used.

283 2.4.2 Service Provider Determines Identity Provider

284 The service provider determines the primary identity provider with which the principal is associated
285 through a variety of mechanisms as selected by the service provider implementation or deployment. The

286 service provider MAY check the certificate presented by the user agent, to attempt to use the x.509
287 subject, subjectAltName, or other field or extension in the certificate to determine the principal's
288 identity provider or single sign-on service endpoint. The common domain cookie approach described in
289 4.3 of [SAML2Prof], a discovery service as described in [IDPDisco], or other mechanism MAY be used if
290 the correct identity provider cannot be determined through inspection of the certificate.

291 **2.4.3 <samlp:AuthnRequest> Issued by Service Provider to Identity** 292 **Provider**

293 Once an identity provider is selected, the location of a single sign-on service to which to send an
294 <samlp:AuthnRequest> is determined based on the SAML binding chosen by the service provider.
295 Metadata as described in [SAML2Meta] MAY be used for this purpose. Following an HTTP request by
296 the user agent, an HTTP response is returned containing an <samlp:AuthnRequest> message or an
297 artifact, depending on the SAML binding used, to be delivered to the identity provider's single sign-on
298 service.

299 Profile-specific rules for the contents of the <samlp:AuthnRequest> are defined in Section 2.5.1. If
300 the HTTP Redirect or POST binding is used, the <samlp:AuthnRequest> message is delivered
301 directly to the identity provider in this step. If the HTTP Artifact binding is used, the Artifact Resolution
302 profile defined in Section 5 of [SAML2Prof] is used by the identity provider, which makes a callback to the
303 service provider to retrieve the <samlp:AuthnRequest> message using, for example, the SOAP
304 binding.

305 The <samlp:AuthnRequest> message MAY be signed if authentication of the request issuer is
306 required. If a certificate is included in the request, the HTTP Redirect binding MUST NOT be used to
307 transport the <samlp:AuthnRequest> due to size limitations.

308 It is REQUIRED that the <samlp:AuthnRequest> be presented to the identity provider over mutually
309 authenticated TLS to supply the identity provider with a public key associated with the user agent and
310 establish the user agent's possession of the corresponding private key.

311 **2.4.4 Identity Provider Identifies Principal and Verifies Key Possession**

312 The identity provider must perform two functions in this step: identification of the principal presenting the
313 <samlp:AuthnRequest>, and verification that the principal possesses the private key associated with
314 the public key that will be included in the <saml:SubjectConfirmation>.

315 The identity provider MUST establish the identity of the principal (unless it will return an error) prior to the
316 issuance of the <samlp:Response>. If the <samlp:AuthnRequest> attribute ForceAuthn is
317 present and true, the identity provider MUST freshly establish this identity rather than relying on any
318 existing session it may have with the principal. Otherwise, and in all other respects, the identity provider
319 may use any means to authenticate the user agent, subject to any requirements included in the
320 <samlp:AuthnRequest>.

321 The identity provider MUST also establish that the public key that will be included as a holder-of-key
322 <saml:SubjectConfirmation> in the subsequent <samlp:Response> is the one presented by the
323 user agent in step 2.4.3. The user agent MUST have demonstrated possession of this key through
324 successful TLS authentication.

325 Preferably, both of these requirements will be simultaneously addressed by PKIX validation of an x.509
326 certificate presented by the user agent in TLS authentication from an issuer trusted by the identity
327 provider, but this is not mandatory unless such an authentication context is requested by the service
328 provider.

329 **2.4.5 Identity Provider Issues <samlp:Response> to Service Provider**

330 Regardless of the success or failure of the <samlp:AuthnRequest>, the identity provider SHOULD
331 produce an HTTP response to the user agent containing a <samlp:Response> message or an artifact,
332 depending on the SAML binding used, to be delivered to the service provider's assertion consumer
333 service.

334 The exact format of this HTTP response and the subsequent HTTP request to the assertion consumer
335 service is defined by [SAML2Bind]. Profile-specific rules on the contents of the <samlp:Response> are
336 included in section 2.5.2. If the HTTP POST binding is used, the <samlp:Response> message is
337 delivered directly to the service provider in this step. If the HTTP Artifact binding is used, the Artifact
338 Resolution profile defined in Section 5 is used by the service provider, which makes a callback to the
339 identity provider to retrieve the <samlp:Response> message, using for example the SOAP binding.

340 The location of the assertion consumer service MAY be determined using metadata defined in
341 [SAML2Meta]. The identity provider MUST have some means to establish that this location is in fact
342 controlled by the service provider. A service provider MAY indicate the SAML binding and the specific
343 assertion consumer service to use in its <samlp:AuthnRequest> and the identity provider MUST honor
344 them if it can.

345 It is REQUIRED that the HTTP requests in this step be made over mutually authenticated TLS to
346 demonstrate possession of the private key corresponding to the public key included in the assertion's
347 <saml:SubjectConfirmation> as well as maintain confidentiality and message integrity. The
348 <saml:Assertion> element(s) in the <samlp:Response> MUST be signed, if the HTTP POST
349 binding is used, and MAY be signed if the HTTP Artifact binding is used.

350 The service provider MUST process the <samlp:Response> message and any enclosed
351 <saml:Assertion> elements as described in [SAML2Core].

352 **2.4.6 Service Provider Grants or Denies Access to Principal**

353 To complete the profile, the service provider processes the <samlp:Response> and
354 <saml:Assertion>(s) and grants or denies access to the resource. The service provider MAY
355 establish a security context with the user agent using any session mechanism it chooses. Any
356 subsequent use of the <saml:Assertion>(s) provided is at the discretion of the service provider and
357 other relying parties, subject to any restrictions on use contained within them.

358 **2.5 Use of Authentication Request Protocol**

359 This profile is based upon the Web Browser SSO Profile defined in [SAML2Prof] and the Authentication
360 Request protocol defined in [SAML2Core]. In the nomenclature of actors enumerated in Section 3.4 of
361 that document, the service provider is the request issuer and the relying party, the user agent is the
362 attesting entity and presenter, and the principal is the requested subject. There may be additional relying
363 parties at the discretion of the identity provider.

364 **2.5.1 <samlp:AuthnRequest> Usage**

365 A service provider MAY include any message content described in [SAML2Core], Section 3.4.1. All
366 processing rules are as defined in [SAML2Core]. The request MUST conform to the following:

- 367 ● The <saml:Issuer> element MUST be present and MUST contain the unique identifier of the
368 requesting service provider. The Format attribute MUST be omitted or have a value of
369 urn:oasis:names:tc:SAML:2.0:nameid-format:entity.

- 370 ● If the initial request was made over TLS and this message is signed, a `<saml:Subject>`
371 element MAY be included in the request that includes the certificate presented by the user agent
372 for which the service provider wishes to receive an assertion in a holder-of-key
373 `<saml:SubjectConfirmation>` element. A `<saml:NameID>` SHOULD NOT be included, as
374 the names used by the certificate authority may differ from those used by the identity provider. If
375 the user agent fails this confirmation, then the identity provider MUST respond with a
376 `<samlp:Response>` message containing an error status and no assertions.
- 377 ● If the service provider wishes to permit the identity provider to establish a new identifier for the
378 principal if none exists, it MUST include a `<saml:NameIDPolicy>` element with the
379 `AllowCreate` attribute set to `true`. Otherwise, only a principal for whom the identity provider
380 has previously established an identifier usable by the service provider can be authenticated
381 successfully.
- 382 ● The `<samlp:AuthnRequest>` message MAY be signed (as directed by the SAML binding
383 used). If the HTTP Artifact binding is used, authentication of the parties is OPTIONAL and any
384 mechanism permitted by the binding MAY be used.

385 2.5.2 `<samlp:AuthnRequest>` Message Processing Rules

386 If the identity provider cannot or will not satisfy the request, it MUST respond with a message containing
387 an appropriate error status code or codes.

388 If the `<samlp:AuthnRequest>` is not authenticated and/or integrity protected, the information in it
389 MUST NOT be trusted except as advisory. The `<samlp:AuthnRequest>` must be processed as
390 follows:

- 391 ● It is RECOMMENDED that any `AssertionConsumerServiceURL` or
392 `AssertionConsumerServiceIndex` attributes in the `<samlp:AuthnRequest>` are verified
393 as belonging to the `entityID` to whom the response will be sent. However, holder-of-key
394 confirmation eliminates the potential for assertion theft and encryption prevents privacy loss.
395 Encrypted assertions issued under this profile do NOT require this verification.
- 396 ● It is NOT obligated to honor the requested set of `<saml:Conditions>` in the
397 `<samlp:AuthnRequest>`, if any.

398 2.5.3 `<samlp:Response>` Usage

399 If the identity provider wishes to return an error for this request, it MUST NOT include any assertions in
400 the `<samlp:Response>` message. Otherwise, if the request is successful or the response is not
401 associated with a request, the `<samlp:Response>` element MUST conform to the following:

- 402 ● The `<saml:Issuer>` element of the `<samlp:Response>` MAY be omitted, but if present it
403 MUST contain the unique identifier of the issuing identity provider; the `Format` attribute MUST be
404 omitted or have a value of `urn:oasis:names:tc:SAML:2.0:nameid-format:entity`.
- 405 ● It MUST contain at least one `<saml:Assertion>`. Each assertion's `<saml:Issuer>` element
406 MUST contain the unique identifier of the issuing identity provider, and the `Format` attribute
407 MUST be omitted or have a value of `urn:oasis:names:tc:SAML:2.0:nameid-`
408 `format:entity`.
- 409 ● The set of one or more assertions MUST collectively contain one `<saml:AuthnStatement>`
410 that reflects the authentication of the principal to the identity provider.

- 411 ● The assertion containing an `<saml:AuthnStatement>` MUST also contain a
412 `<saml:Subject>` element with a `<saml:SubjectConfirmation>` element with a Method of
413 `urn:oasis:names:tc:SAML:2.0:cm:holder-of-key`. Its
414 `<saml:SubjectConfirmationData>` MUST contain the public key of the principal. This will
415 typically take the form of a `<ds:KeyInfo>` element containing a `<ds:X509Data>` element with
416 the principal's certificate encoded inside.
- 417 ● If the identity provider supports the Single Logout profile, defined in Section 4.4 of [SAML2Prof],
418 the `<saml:AuthnStatement>` MUST include a `SessionIndex` attribute to enable per-session
419 logout requests by the service provider.
- 420 ● `<saml:AttributeStatement>` elements MAY be included in the assertion(s) at the discretion
421 of the identity provider. The `<samlp:AuthnRequest>` MAY contain an
422 `AttributeConsumingServiceIndex` XML attribute referencing information about desired or
423 required attributes in [SAML2Meta]. The identity provider MAY ignore this, or send other
424 attributes at its discretion.
- 425 ● If the assertion containing the `<samlp:AuthnStatement>` is not encrypted, it MUST contain an
426 `<saml:AudienceRestriction>` including the service provider's unique identifier as an
427 `<saml:Audience>`.
- 428 ● Other conditions (and other `<saml:Audience>` elements) MAY be included as requested by the
429 service provider or at the discretion of the identity provider. All such conditions MUST be
430 understood by and accepted by the service provider in order for the assertion to be considered
431 valid.

432 **2.5.4 <samlp:Response> Message Processing Rules**

433 Regardless of the SAML binding used, the service provider MUST do the following:

- 434 ● Verify any signatures present on the assertion(s) or the response.
- 435 ● Verify that the key in the certificate presented by the user agent in mutual TLS authentication to
436 the service provider matches the public key in the holder-of-key
437 `<saml:SubjectConfirmationData>`. The service provider SHOULD NOT rely on any other
438 data in the certificate to process the assertion.
- 439 ● Verify that any assertions relied upon are valid in other respects.

440 Any assertion which is not valid, or whose subject confirmation requirements cannot be met, SHOULD be
441 discarded and SHOULD NOT be used to establish a security context for the principal.

442 **2.5.4.1 Artifact-Specific <samlp:Response> Message Processing** 443 **Rules**

444 If the HTTP Artifact binding is used to deliver the `<samlp:Response>`, the dereferencing of the artifact
445 using the Artifact Resolution profile MUST be mutually authenticated, integrity protected, and confidential.

446 If the assertion is not encrypted, it is RECOMMENDED that the identity provider ensure that only the
447 service provider to whom the `<samlp:Response>` message has been issued is given the message as
448 the result of an `<samlp:ArtifactResolve>` request.

449 Either the SAML binding used to dereference the artifact or message signatures can be used to
450 authenticate the parties and protect the messages.

451 2.5.4.2 POST-Specific <samlp:Response> Message Processing Rules

452 If the HTTP POST binding is used to deliver the <samlp:Response>, the enclosed assertion(s) MUST
453 be signed.

454 2.6 Unsolicited Responses

455 An identity provider MAY initiate this profile by delivering an unsolicited <samlp:Response> message to
456 a service provider.

457 An unsolicited <samlp:Response> MUST NOT contain an InResponseTo attribute. If metadata as
458 specified in [SAML2Meta] is used, the <samlp:Response> or artifact SHOULD be delivered to the
459 <md:AssertionConsumerService> endpoint of the service provider designated as the default.

460 Of special mention is that the identity provider MAY include a binding-specific "RelayState" parameter
461 that indicates, based on mutual agreement with the service provider, how to handle subsequent
462 interactions with the user agent. This MAY be the URL of a resource at the service provider. The service
463 provider SHOULD be prepared to handle unsolicited responses by designating a default location to send
464 the user agent subsequent to processing a response successfully.

465 2.7 Use of Metadata

466 [SAML2Meta] defines an endpoint element, <md:SingleSignOnService>, to describe supported
467 bindings and location(s) to which a service provider may send requests to an identity provider using this
468 profile.

469 The <md:IDPSSODescriptor> element's WantAuthnRequestsSigned attribute MAY be used by an
470 identity provider to indicate a requirement that requests be signed. The <md:SPSSODescriptor>
471 element's AuthnRequestsSigned attribute MAY be used by a service provider to indicate the intention
472 to sign all of its requests. If one of these attributes is present, the requirement MUST be met by
473 counterparties.

474 The providers MAY document the key(s) used to sign requests, responses, and assertions with
475 <md:KeyDescriptor> elements with a use attribute of sign. When encrypting SAML elements,
476 <md:KeyDescriptor> elements with a use attribute of encrypt MAY be used to document supported
477 encryption algorithms and settings, and public keys used to receive bulk encryption keys. If no use
478 attribute is included, then the key MAY be used for both signing and encryption.

479 The indexed endpoint element <md:AssertionConsumerService> is used to describe supported
480 bindings and location(s) to which an identity provider may send responses to a service provider using this
481 profile. The index attribute is used to distinguish the possible endpoints that may be specified by
482 reference in the <samlp:AuthnRequest> message. The isDefault attribute is used to specify the
483 endpoint to use if not specified in a request.

484 2.8 Compatibility

485 This profile is based on the Web Browser SSO Profile in [SAML2Prof]. The primary difference is the
486 required holder-of-key <saml:SubjectConfirmation>, no requirement for bearer
487 <saml:SubjectConfirmation>, and the resulting mandate of client TLS authentication for user agent
488 interactions. The confirmation of the subject by key allows several of the requirements within that profile
489 to be relaxed or removed, but there is nothing prohibiting meeting such requirements. Additionally,
490 inclusion of a secondary bearer <saml:SubjectConfirmation> is possible in assertions and
491 requests due to the satisfy-any nature of subject attestation.

492 As such, a request or response can be made sufficiently general to satisfy the requirements of both
493 profiles and sent to an endpoint that only supports `urn:oasis:names:tc:SAML:
494 2.0:profiles:SSO:browser` without special processing by that handler. This may be desirable to
495 maximize interoperability with minimal implementation and deployment. However, deployers must be
496 aware that in transacting with endpoints for `urn:oasis:names:tc:SAML:
497 2.0:profiles:SSO:browser` they may be susceptible again to the some of the attacks mentioned in
498 the introduction and as described in [SAML2Secure].

499 **Appendix A. Acknowledgments**

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